



Edmund Group

Compliance. Risk Management. Anti Financial Crime.

Our Services.



Quality is never an accident; it is always the result of intelligent effort. - John Ruskin



Compliance.

We are proud to offer 360 degree compliance support. From license support to framework build to policy implementation.

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Risk Management.

We can help you to build a functional, proportionate and sustainable enterprise risk management framework that is accessible to all.

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Anti Financial Crime.

We can help you to assess the financial crime risks present in your business as well as to help you to understand your regulatory obligations in relation to financial crime prevention. From here, we can help you to design appropriate on boarding, review and screening processes.

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Innovating Consultancy.

About us.

At the Edmund Group we aim to make expert consultancy more accessible. Whether you are a Fintech startup, an entrepreneur with an amazing idea but with little previous experience in compliance, risk or FinCrime or if you are a well established brand, we are here to provide expert guidance and support to you.

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Company History.

A brief retrospective.

We have very high standards of delivery. We believe that it is okay to compromise on cost, but it is never okay to compromise on quality. John Ruskin once said "Quality is never an accident; it is always the result of intelligent effort."

Our founding members spent a vast proportion of their career as consumers of the services that a consultancy provides. They worked across traditional retail banking, blue chip banks, start up fintechs and a hypergrowth unicorn fintech valued at over \$4bn at the time.

They found that consultancy services are expensive and oftentimes fall short on the quality expected.

After venturing into the fintech space, they found that capital challenges as well as a need for agility, flexibility and speed of delivery was not in keeping with the traditional consultancy model.

About Us.

Our founding beliefs.

At the Edmund Group, we believe in the strength of an idea. We primarily work with Entrepreneurs, Startups and Fintechs who have very different and diverse ideas but all share one same common goal: to protect their businesses against shock.

We love that you are passionate about your ideas, that is why we will spend time, totally free of charge, getting to know you and your business. Brief disclaimer, you are likely going to have to excuse us for our excitement about your venture.

Importantly, and fundamentally, we believe that access to expert consultancy should not come with a £120,000+ salary commitment for a seasoned compliance and/or risk executive. Nor should you need to pay a £1500 day rate. We know our help is needed but we also know that you need to manage costs. So we have worked hard to develop a unique pricing model that makes access to consultancy through the Edmund Group much more accessible.

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Despite the challenges, our founders understood that the need to bring in compliance, risk management and financial crime expertise was (and is) entirely necessary but more permanent or costly than it need be. They knew that they could deliver a service that solved this problems and reinvent consulting for the better.

That is why we were founded and we are so proud of our origin story.



Compliance.

Compliance is essential for the proper functioning of any business. In long established banks, the impact of non-compliance ranges from huge fines to customer distrust. In newer challengers and startups, non-compliance can be existential. Paul McNulty once famously said "If you think compliance is expensive, try non-compliance." According to FStech, over \$10bn were issues in AML non-compliance fines alone in 2020.

We understand that there is sometimes a view that compliance stifles innovation. And, whilst this may be the case with some compliance officers, we are excited by new developments. We love hearing fresh and mould-breaking ideas that present challenges. For us, there is nothing more exciting than helping to bring those ideas to life in a way that works within the regulatory environment whilst simultaneously protecting the longevity of a business

Service List

End to End Compliance.

- Regulatory Business Plan Input;
- Banking License Application Support;
- Compliance Framework Build;
- Bespoke Compliance Policy Suite Development;
- Procedures and Process Design and Implementation;
- Compliance Software Implementation Support and Configuration;
- Compliance Advisory Services;
- Culture and Governance Development;
- Product Design Guidance;
- Monitoring Plans and Assurance Assessments;
- Regulatory Change Guidance;
- Remediation and s.166 Support;
- Compliance Training; and
- Wind-Down Support

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Risk Management.

"Managing risk is very different from managing strategy. Risk management focuses on the negative threats and failures rather than opportunities and successes" - Robert Kaplan. Like anything good in life, we believe balance between both perspectives is necessary.

Risk Management is more of an art than an exact science. There is no one size fits all. Each business will have it's own distinct risk taxonomy (a hierarchical collection of risks, categorised and grouped by interrelation) arising from its business model. We help to make sense of these risks and to proactively manage them by supporting with the design and implementation of a risk management framework at the top level.

With a solid foundation in place, we can provide more detailed support in specialist areas including conduct risk management, incident response and operational resilience.



Service List

Practical and Bespoke Risk Management.

- Enterprise Risk Management Framework Build;
- Risk Appetite Definition Support;
- Risk Taxonomy Development;
- Incident Reporting Processes;
- Controls Assessment;
- Risk Control Self Assessment Process Design;
- Governance Report Designs;
- Risk Management Software Implementation;
- Conduct Risk Framework Build;
- Business Continuity, Disaster Recovery and Operational Resilience Support;
- Information Security Guidance;
- Bespoke Compliance Policy Suite Development;
- Procedures and Process Design and Implementation; and
- Key Risk Indicator and Early Warning Indicator Design

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Anti Financial Crime.

Financial Crime is one of the toughest challenges facing banks. It is an ever present threat and constantly evolving. Financial crime is not victimless, somewhere along the line - someone has been a victim of that crime. Whether it is lost savings through fraud, a stolen identity used to take out debt or a shareholder whose investment has taken a dive because the bank has been the latest to fall foul of very high regulatory standards.

Service List

Innovative Financial Crime Prevention.

- Financial Crime Prevention Architectural Design;
- Prevention Framework Build;
- Financial Crime Risk Assessments;
- Bespoke Compliance Policy Suite Development;
- Procedures and Process Design and Implementation;
- Due Diligence Process Build;
- Financial Crime Systems Implementation Support;
- Remediation and s.166 Support;
- Transaction Monitoring Guidance;
- PEP, Sanctions and Adverse Media Process Design;
- Suspicious Activity Reporting Set Up;
- Workflow Design;
- Customer Risk Assessment Creation;
- Training Programme Design;
- Quality Assurance and Quality Control Framework Build;
- Escalation Procedures; and
- Investigation Processes

The key to fighting it lies in a risk based approach, and we can help you to understand what this is and how to get it right. We can help you to build a bespoke, scalable and agile suite of systems, controls and processes to make life very difficult for criminals but easy for legitimate customers.

Whilst we maintain an a-political position, David Cameron once poignantly said that "the evil of corruption reaches into every corner of the world. It lies at the heart of the most urgent problems we face." A quote which is entirely true. For any organisation, irrespective of size, age or product offering - criminals will try to infiltrate that company in some way.

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Contact Us.

Explore how we can help you.

We offer free initial consultations for any and all who need some support. We will take the time to explore how we can help you and there is absolutely no obligation to take our services after the initial consultation. During the consultation we will look to understand your business model at a high level, talk through what support you may need and offer the chance to ask any questions you may have.

We are delighted to hear from you through any of our contact channels - or directly to one of our Founding Consultants.



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